

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 2



FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

COMPLETION Under  
Rule 53(f)

Attn: Application Division

In re PATENT APPLICATION of  
Inventor(s): Michael P. LYONS et al.

Appln. No.: 10 007,692  
Series Code ↑ Serial No. ↑

Atty.Dkt. P 280456 702176 REG  
M# Client Ref

Filed: December 10, 2001  
Title: DIE ASSEMBLY

Hon. Commissioner of Patents  
Washington, DC 20231

Date: March 1, 2002

Sir:

The following **completes the filing** under **Rule 53(f)** of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filling signed Declaration and

"X" box 2A only if top box of the Declaration is X'd and file application copy, or

"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.
3. ☐ Specification originally filed in non-English language; hence verified translation attached of:
  - a. ☐ Abstract
  - b. #  pages of Specification (only spec. & claims)
  - c. ☐ Drawing(s) ☐ Fig(s).

No of Sheets

4. ☒ Letter filing formal drawing attached.
5. ☐ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
6. **DOMESTIC/INTERNATIONAL** priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

7. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	
(5)		(6)	

Completion Under Rule 53(f)

9. \_\_\_\_\_ (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_
10. Small Entity Status ☐ ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee C de
13. Basic Filing Fee .....				Design Application \$330/\$165		106/26
				Not Design Application \$740/\$370	+740	101/201
14. Total Effective Claims	20	minus 20 =	0	x \$18/\$9	+0	103/203
15. Independent Claims	3	minus 3 =	0	x \$84/\$42	+0	102/202
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$280/\$140	+0	104/204
17. Surcharge for filing Declaration/filing fee late				\$130/\$65	+130	105/205
18. <b>FILING FEE ENCLOSED =</b>				<b>\$870</b>		
19. <b>Original due date:</b> March 7, 2002						
20. <b>Petition is hereby made</b> to extend the <u>original</u> due date to				(1 mo) \$110/\$55 =	+0	115/215
cover the date this response is filed for which the requisite fee				(2mos) \$400/\$200 =		116/216
is attached				(3mos) \$920/\$460 =		117/217
				(4mos) \$1,440/\$720 =		118/218
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee .....				\$130	+0	139
22. If "assignment" box 5 is X'd, add recording fee .....				\$40	+0	581
23. Petition Fee for				\$130	+0	
24. <b>TOTAL FEE ENCLOSED =</b>				<b>\$870</b>		

Our Deposit Account No. 03-3975

Our Order No. 20826

280456

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.


**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

1133 Connecticut Avenue, NW  
 Washington, DC 20036  
 Tel: (202) 775-9800

By Atty: Thomas P. Hilliard

Reg. No. 40330

Sig:



Fax:

(202) 833-8491

T I:

(202) 775-9868

Atty/Sec: TPH/rsp

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**

#3



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20503  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/007,692	12/10/2001	Michael Patrick Lyons	P 280456 702176REG-

CONFIRMATION NO. 9882

00909  
PILLSBURY WINTHROP LLP  
1600 TYSONS BOULEVARD  
MCLEAN, VA 22102

## FORMALITIES LETTER



\*OC000000007270939\*

Date Mailed: 01/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 870.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/07/2002 VTRUONG1 00000051 10007692

01 FC:101

740.00 OP

02 FC:105

130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attention: OFFICE OF PUBLICATIONS

In re PATENT APPLICATION of

Inventor(s): Michael P. LYONS et al.

Appl. No.: 10 007,692  
Series Code ↑ Serial N. ↑

Atty. Dkt. P 280456 | 701276 - Dkt. 469

M# Client Ref

Filed: December 10, 2001

Title: DIE ASSEMBLY

Date: March 1, 2002



**FILING OF FORMAL DRAWING(S)**

Hon. Commissioner of Patents  
Washington, D.C. 20231

Sir:

1. Please accept the herewith 5 sheet(s)
2. of formal drawing(s) on ☒ A4 ☐ 11" size paper
3. of Figure(s) 1-7
4. of which Figure(s)     is/are **black and white photographic drawings** (**DO NOT** use this form for photographic drawings in color (see PAT-280).
5. ☒ which is/are in lieu of the informal drawing(s) filed earlier.
6. ☐ which include the corrections required/approved by the Draftsperson/Examiner in the  
☐ Office Action dated                      or ☐ Notice of Allowability (PTO-37) dated       .

**NOTE**

- A. PTO waived requirement for 3 sets of B/W photos June 9, 1998, 1211 OG 34;
- B. PTO waived requirement for petition and petition fee for B/W photos August 4, 1998, 1213 OG 108.
- C. Petition and fee are still required for photographs which are in color. See Rule 84(a)(2).

A,B,C were confirmed in 9/8/00 Rule 84 changes

**EXTENSIONS NOT AVAILABLE Re NOTICES OF ALLOWABILITY ISSUED ON/AFTER 11/7/00**

		Large/Small Entity	Fee Code	
7. Original due date:	<input checked="" type="checkbox"/> NONE			
8. Petition is hereby made to extend the original due date to cover	(1 mo)	\$110/\$55 =		115/215
The date this response is filed for which the requisite fee is attached	(2 mos)	\$400/\$200 =	+0	116/216
	(3 mos)	\$920/\$460 =		117/217
9.	<b>TOTAL FEE ENCLOSED</b>		<b>\$0</b>	

(Our Deposit Account No. 03-3975)

(Our Order No. 20826

280456

C#

M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached. This **CHARGE STATEMENT** does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

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